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**ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, DC 20231**

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By: *[Signature]*
Lori M. Cummings

Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) is the continuation patent application of co-pending application Serial No. 08/566,405 filed December 1, 1995 which is a continuation-in-part of issued U.S. Patent No. 5,478,309 issued on December 26, 1995.

Inventor(s): WILLIAM P. SWEETZER, RONALD L. COLEMAN, and WALTER L. LARKINS, III

For: **METHOD OF OCCLUDING A PATIENT'S ASCENDING AORTA AND DELIVERING CARDIOPLEGIC FLUID**

[X] Please amend this application by adding the following before the first sentence: This application is a continuation of application Serial No. 08/566,405 filed December 1, 1995, which is a continuation-in-part of application Serial No. 08/250,721, filed May 27, 1994, now U.S. Patent No. 5,478,309.

Application Elements:

- [X] Specification (28 pages); 49 claims on pages 22-27.
[X] Ten (10) sheets of formal drawings.
[X] Copy of Oath or Declaration from a prior application (37 CFR 1.63(d)) with Power of Attorney.
[X] The prior application is assigned of record to: HEARTPORT, INC.
[X] Copy of Power of Attorney by Assignee with Certificate Under 37 C.F.R. Section 3.73(b).
[X] A verified statement to Establish Small Entity Status under 37 CFR §1.9 and 37 CFR §1.27 & 1.28 was filed in the prior application and small entity status is still proper and desired.
[X] Please delete claims 1-49.
[X] A Preliminary Amendment is enclosed.
[X] Information Disclosure Statement under 37 C.F.R. 1.97.

The filing fee has been calculated as shown below:

	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	FEES
TOTAL CLAIMS	9-20 =	0	x11	\$ ---
INDEP. CLAIMS	2-3 =	0	x41	\$ ---
BASIC FEE			\$395	\$395
TOTAL				\$395

Please charge Deposit Account No. 08-1510 the following fees:

- [X] The filing fee of \$395.00.
[X] Any additional fees or credit any overpayment to Deposit Account No. 08-1510 during the pendency of this application.
[X] 2 copies of this sheet are enclosed.

Respectfully submitted,

[Signature]
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